

# AUBURN UNIVERSITY

## Public Health Service Financial Conflict of Interest Policy

### **I. POLICY STATEMENT**

Auburn University (AU) is actively committed to ensuring the integrity of research conducted by our investigators, collaborators, consultants, and sub-recipients. AU research proposed for funding or funded by the Public Health Service (PHS) must be free from bias resulting from Investigator financial conflicts of interest. To promote these principles, AU requires compliance with the PHS FCOI Regulations which are implemented through this policy and the accompanying AU PHS Procedures.

### **II. POLICY PRINCIPLES**

The Public Health Service (PHS) requires institutions applying for or receiving PHS research funding by means of a grant or cooperative agreement or contract to be in compliance with the following regulation: **“Responsibility of Applicants for Promoting Objectivity in Research for which Public Health Service Funding is Sought and Responsible Prospective Contractors.”** 42 CFR Part 50, Subpart F and 45 CFR Part 94. This regulation is commonly referred to as the “FCOI Regulations.” The purpose of the FCOI Regulations is to promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct, and reporting of research performed under PHS grants, cooperative agreements or contracts will be free from bias resulting from investigator financial conflicts of interest.

### **III. EFFECTIVE DATE**

August 24, 2012

### **IV. APPLICABILITY**

University Faculty and others who meet the definition of Investigator in the PHS regulations at 42 CFR Part 50, Subpart F and 45 CFR Part 94.

### **V. POLICY MANAGEMENT**

Responsible Office: Office of the Vice President for Research

Responsible Executive: Vice President for Research

Responsible Officer: Assistant Vice President for Research

### **VI. DEFINITIONS**

See Procedures Document, “[Auburn University Procedures for Public Health Service Financial Conflicts of Interest Regulations](#)”

### **VII. POLICY PROCEDURES**

See “[Auburn University Procedures for Public Health Service Financial Conflicts of Interest Regulations](#)”